

COPY OF PAPERS
ORIGINALLY FILED

Receipt

DOCKET NO.: 4235/PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
IN THE MATTER OF THE APPLICATION FOR PATENT

OF: Takuya SUNAGAWA et al.

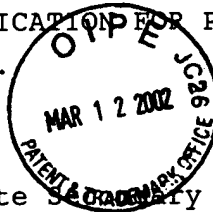
SERIAL NO.: 09/914,653

FILED: August 31, 2001

FOR: Nonaqueous Electrolyte Secondary
Battery

ART UNIT: 1745

CONF. NO.: 2396



RECEIVED
APR 11 2002
TC 1700

ASSISTANT COMMISSIONER FOR PATENTS
OFFICE OF INITIAL PATENT EXAMINATION
CUSTOMER SERVICE CENTER
WASHINGTON, D.C. 20231

March 1, 2002

SECOND REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Dear Sir:

- 1) A review of the Official Filing Receipt shows that the following corrections need to be made.
- 2) The city of all four inventors should read: Moriguchi-shi. Please see the Declaration filed with the application papers on August 31, 2001.
- 3) Please issue a corrected Filing Receipt. A copy of the incorrect Filing Receipt is enclosed.

RECEIVED
APR 12 2002
TC 1700

Respectfully submitted,

Takuya SUNAGAWA et al.
Applicant

By Walter F. Fasse
Walter F. Fasse
Patent Attorney
Reg. No.: 36132
Tel: (207) 862-4671
Fax: (207) 862-4681
P.O. Box 726
Hampden, ME 04444-0726

WFF:ar/4235/PCT
Enclosure: postcard, copy of
incorrect OFR

CERTIFICATE OF MAILING:

I hereby certify that this correspondence with all indicated enclosures is being deposited with the U. S. Postal Service with sufficient postage as first-class mail, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D. C. 20231, on the date indicated below.

Anita Morse - March 1, 2002
Name: Anita Morse - Date: March 1, 2002

4235/PCT/WFF:ar



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/914,653	08/31/2001	1745	914	4235/PCT	4	23	2

021553

FASSE PATENT ATTORNEYS, P.
P.O. BOX 726
HAMPDEN, ME 04444-0726

RECEIVED

FEB 20 2002

FASSE PATENT ATTORNEYS

CONFIRMATION NO. 2356

CORRECTED FILING RECEIPT



OC000000007477489

APR 11 2002

TC 1700

Date: March 02/14/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

*ALL should read:*MORIGUCHI-SHI

Applicant(s)

Takuya Sunagawa, Osaka JAPAN;
Hiroyuki Fujimoto, Osaka JAPAN;
Ryuji Ohshita, Osaka JAPAN;
Shin Fujitani, Osaka JAPAN;

RECEIVED

APR 12 2002

TC 1700

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP00/00731 02/09/2000

Foreign Applications

JAPAN 52741/1999 03/01/1999
JAPAN 358615/1999 12/17/1999

If Required, Foreign Filing License Granted 02/14/2002

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

Title

Nonaqueous electrolyte secondary battery

Preliminary Class

429

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

RECEIVED

FEB 20 2002

FASSE PATENT ATTORNEYS

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

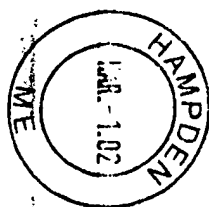
NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

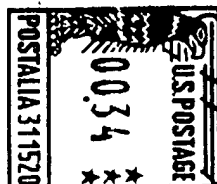
FASSE PATENT ATTORNEYS, P.A.

P.O. BOX 726
HAMPDEN, MAINE 04444-0726 U.S.A.

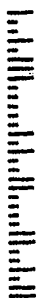
COPY OF PAPERS
ORIGINALLY FILED



0000007810



Box
Asst. Commiss. for PATENTS
WASHINGTON, D.C. 20231





UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 2356

SERIAL NUMBER 09/914,653	FILING DATE 08/31/2001 RULE	CLASS 429	GROUP ART UNIT 1745	ATTORNEY DOCKET NO. 4235/PCT
APPLICANTS Takuya Sunagawa, Moriguchi-shi, JAPAN; Hiroyuki Fujimoto, Moriguchi-shi, JAPAN; Ryuji Ohshita, Moriguchi-shi, JAPAN; Shin Fujitani, Moriguchi-shi, JAPAN;				
** CONTINUING DATA ***** THIS APPLICATION IS A 371 OF PCT/JP00/00731 02/09/2000				
** FOREIGN APPLICATIONS ***** JAPAN 52741/1999 03/01/1999 JAPAN 358615/1999 12/17/1999				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 02/14/2002				
Foreign Priority claimed <input checked="" type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input checked="" type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged	Examiner's Signature <i>CSW</i> Initials	STATE OR COUNTRY JAPAN	SHEETS DRAWING 4	TOTAL CLAIMS 23
INDEPENDENT CLAIMS 2				
ADDRESS 021553				
TITLE Nonaqueous electrolyte secondary battery				
FILING FEE RECEIVED 914	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	